U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. 358.43118X00 UTILITY First Inventor PATENT APPLICATION YAMAMOTO, YASUSHI TRANSMITTAL Title STIRLING ENGINE (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. Mail Stop Patent Application S **Commissioner for Patents** APPLICATION ELEMENTS ADDRESS TO: P.O. Box 1450 SEE MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. fif applicable, all necessary) See 37 CFR 1.27. Computer Readable Form (CRF) b. Specification Sequence Listing on: 3. [Total Pages: 24] Specification i. . U CD-ROM or CD-R (2 copies); or -Descriptive title of the invention -Cross Reference to Related Applications ii. . D paper -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, Statements verifying identity of above copies or a computer program listing appendix -Background of the Invention **ACCOMPANYING APPLICATION PARTS** Brief Summary of the Invention -Brief Description of the Drawings (if filed) 9. Assignment Papers (cover sheet & documents(s)) -Detailed Description -Claim(s) Power of Attorney 37 CFR 3.73(b) Statement -Abstract of the Disclosure (when there is an assignee) 4 🖂 English Translation Document (if applicable) [Total Pages: 11] Drawing(s) (35 U.S.C. 113) Information Disclosure [Total Pages: 2] 5. Oath or Declaration Statement (IDS)/PTO-1449 Newly executed (original or copy) **Preliminary Amendment** 13. Copy from a prior application (37 CFR 1.63 (d)) 14. Return Receipt Postcard (MPEP 503) (for continuation/divisional with Box 18 completed) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) Named in the prior application, see 37 CFR Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b) or its equivalent. Application Data Sheet. See 37 CFR 1.76 Other: Figs. 1-11, Credit Card Payment Form 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation-in-part (CIP) of prior application No.: Divisional Continuation Prior application information: Examiner: Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 020457 **Customer Number** Correspondence address below ANTONELLI, TERRY, STOUT & KRAUS, LLP Name Address

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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22,973

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Application Number Filing Date September 16, 2003 YAMAMOTO, YASUSHI First Named Inventor Examiner Name

Complete if Known

Effective 01/01/2003. Patent fees are subject to annual revision.

James N. Dresser

Name (Print/Type)

Signature

for FY 2003

Art Unit Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT Attorney Docket No. 358.43118X00 (\$) 790.00FEE CALCULATION (continued) **METHOD OF PAYMENT** (check all that apply) ☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None 3. ADDITIONAL FEES Small Large **Entity** Entity Deposit Account: Fee Fee Fee Fee Fee Paid Fee Description Deposit Account Number 01-2135 (\$) Code (\$) Code Deposit Account Name Antonelli, Terry, Stout & Kraus, LLP Surcharge - late filing fee or oath 1051 130 2051 65 The Commissioner authorized to: (check all that apply) Surcharge - late provisional filing fee or 50 2052 25 1052 ☐ Charge fees indicated below ☐ Credit any overpayments cover sheet □ Charge any additional fee(s) during the pendency of this 1053 130 1053 130 Non-English specification application Charge fees indicated below, except for the filing fee 2,520 For filing a request for ex parte reexamination 1812 2,520 1812 to the above-identified deposit account. 1804 920* 1804 920* Requesting publication of SIR prior to **Examination action FEE CALCULATION** 1,840* 1805 1,840*Requesting publication of SIR after 1805 1. BASIC FILING FEE Examiner action **Entity Small Entity** Large 1251 110 2251 55 Extension for reply within first month Fee Fee Fee Description Fee Fee Fee Paid Extension for reply within second month 1252 410 2252 205 Code (\$) Code (\$) 750 2001 375 Utility filing fee 1001 750 1253 930 2253 465 Extension for reply within third month 1002 330 2002 165 Design filing fee 1254 1,450 2254 725 Extension for reply within fourth month 1003 520 2003 260 Plant filing fee 1255 1,970 2255 985 Extension for reply within fifth month 2004 375 Reissue filing fee 750 1004 1401 320 2401 160 Notice of Appeal 1005 160 2005 80 Provisional filing fee 320 2402 160 Filing a brief in support of an appeal 1402 Request for oral hearing 403 280 2403 140 750.00 SUBTOTAL (1) 1451 1,510 1451 1,510 Petition to institute a public use proceeding 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 110 2452 Petition to revive - unavoidable 1452 55 Fee from 1453 1,300 2453 650 Petition to revive – unintentional Fee Paid Extra Claims below 1,300 2501 650 Utility issue fee (or reissue) 1501 Total Claims 7 -20** = 00 x 18 470 2502 Design issue fee 1502 235 -3** = 0Indep. x 84 1503 630 2503 315 Plant issue fee Claims Petitions to the Commissioner Multiple Dependent 280 1406 130 1460 130 Processing fee under 37 CFR 1.17(q) 807 50 1807 50 Small Entity Large Entity 1806 Submission of Information Disclosure Stmt 1806 180 180 **Fee Description** Fee Fee Fee Fee Recording each patent assignment per 8021 40 8021 Code (\$) Code (\$) property (times number of properties) 40.00 2202 9 Claims in excess of 20 1202 18 1809 750 2809 Filing a submission after final rejection Independent claims in excess of 3 1201 84 2201 42 (37 CFR § 1.129(a)) For each additional invention to be 750 2810 375 1810 Multiple dependent claim, if not paid 1203 280 2203 140 examined (37 CFR § 1.129(b)) Request for Continued Examination (RCE) 1204 84 ** Reissue independent claims 1801 750 2801 2204 42 over original patent Request for expedited examination of a design application 1205 18 ** Reissue claims in excess of 20 2205 and over original patent Other fee (specify) **SUBTOTAL (2) \$ 0.00** **or number previously paid, if greater; For Reissues, see above. SUBTOTAL (3) (\$) 40.00 *Reduced by Basic Filing Fee Paid SUBMITTED BY Complete (if applicable) Registration No.

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(Attorney/Agent)

22,973

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September 16, 2003

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